

**RESOLUTION AUTHORIZING THE PREPARATION AND SUBMISSION OF AN APPLICATION TO PARTICIPATE IN THE OHIO PUBLIC WORKS COMMISSION STATE CAPITAL IMPROVEMENT AND/OR LOCAL TRANSPORTATION IMPROVEMENT PROGRAM(S) AND TO EXECUTE CONTRACTS AS REQUIRED FOR CARPENTER ROAD SANITARY SEWER OVERFLOW ELIMINATION PROJECT**

WHEREAS, the State Capital Improvement Program and the Local Transportation Improvement Program both provide financial assistance to political subdivisions for capital improvements to public infrastructure, and

WHEREAS, the Ashtabula County Board of Commissioners is planning to make capital improvements to the Carpenter Rd Sanitary Sewer Overflow Elimination, and

WHEREAS, the infrastructure improvement herein above described is considered to be a priority need for the community and is a qualified project under the OPWC programs,

WHEREAS, It is the desire of the Board to designate an official to sign and submit this application and to execute contracts in connection with said application, and

NOW THEREFORE, BE IT RESOLVED by Ashtabula County Commissioners:

Section 1: Douglas Starkey, Director of the Ashtabula County Department of Environmental Services Department, is hereby authorized to apply to the OPWC for funds as described above.

Section 2: The Vice-President of the Ashtabula County Commissioners, is authorized to enter into any agreements as may be necessary and appropriate for obtaining this financial assistance.

**ASHTABULA COUNTY COMMISSIONERS  
CERTIFICATION PAGE**

Resolution No. 2022-456

October 25, 2022

**RESOLUTION AUTHORIZING THE PREPARATION AND SUBMISSION OF AN APPLICATION TO PARTICIPATE IN THE OHIO PUBLIC WORKS COMMISSION STATE CAPITAL IMPROVEMENT AND/OR LOCAL TRANSPORTATION IMPROVEMENT PROGRAM(S) AND TO EXECUTE CONTRACTS AS REQUIRED FOR CARPENTER ROAD SANITARY SEWER OVERFLOW ELIMINATION PROJECT**

Upon the motion of Kathryn L. Whittington, seconded by Casey R. Kozlowski.

**VOTE:**

J.P. Ducro IV

Absent

Casey R. Kozlowski

Aye

Kathryn L. Whittington

Aye

**CERTIFICATE OF CLERK**

IT IS HEREBY CERTIFIED that the foregoing is a true and correct transcript of a resolution acted upon and duly passed by the Board of County Commissioners of Ashtabula County, Ohio, on the date noted above.



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Lisa Hawkins, Clerk of the Board  
Board of County Commissioners  
Ashtabula County, Ohio



State of Ohio  
**Public Works Commission**  
*Application for Financial Assistance*

**IMPORTANT:** Please consult "Instructions for Financial Assistance for Capital Infrastructure Projects" for guidance in completion of this form.

**Applicant**

Applicant: Ashtabula County Board of Commissioners Subdivision Code: 007-00007

District Number: 7 County: Ashtabula Date: 10/19/2022

Contact: Douglas G. Starkey, Director of Environmental Services Department Phone: (440) 576-3722  
(The individual who will be available during business hours and who can best answer or coordinate the response to questions)

Email: dgstarkey@ashtabulacounty.us FAX: (440) 576-3781

**Project**

Project Name: Carpenter Rd Sanitary Sewer Overflow Elimination Zip Code: 44004

Subdivision Type <small>(Select one)</small>	Project Type <small>(Select single largest component by \$)</small>	Funding Request Summary <small>(Automatically populates from page 2)</small>
<input checked="" type="checkbox"/> 1. County	<input type="checkbox"/> 1. Road	Total Project Cost: <u>450,400 .00</u>
<input type="checkbox"/> 2. City	<input type="checkbox"/> 2. Bridge/Culvert	1. Grant: <u>75,000 .00</u>
<input type="checkbox"/> 3. Township	<input type="checkbox"/> 3. Water Supply	2. Loan: <u>150,000 .00</u>
<input type="checkbox"/> 4. Village	<input checked="" type="checkbox"/> 4. Wastewater	3. Loan Assistance/ Credit Enhancement: <u>0 .00</u>
<input type="checkbox"/> 5. Water (6119 Water District)	<input type="checkbox"/> 5. Solid Waste	Funding Requested: <u>225,000 .00</u>
	<input type="checkbox"/> 6. Stormwater	

**District Recommendation** (To be completed by the District Committee)

Funding Type Requested <small>(Select one)</small>		
<input type="checkbox"/> State Capital Improvement Program	SCIP Loan - Rate: _____ % Term: _____ Yrs	Amount: _____ .00
<input type="checkbox"/> Local Transportation Improvement Program	RLP Loan - Rate: _____ % Term: _____ Yrs	Amount: _____ .00
<input type="checkbox"/> Revolving Loan Program	Grant:	Amount: _____ .00
<input type="checkbox"/> Small Government Program	LTIP:	Amount: _____ .00
District SG Priority: _____	Loan Assistance / Credit Enhancement:	Amount: _____ .00

**For OPWC Use Only**

STATUS	Grant Amount: _____ .00	Loan Type: <input type="checkbox"/> SCIP <input type="checkbox"/> RLP
Project Number: _____	Loan Amount: _____ .00	Date Construction End: _____
	Total Funding: _____ .00	Date Maturity: _____
Release Date: _____	Local Participation: _____ %	Rate: _____ %
OPWC Approval: _____	OPWC Participation: _____ %	Term: _____ Yrs

**1.0 Project Financial Information (All Costs Rounded to Nearest Dollar)**

**1.1 Project Estimated Costs**

**Engineering Services**

Preliminary Design:	<u>0</u> .00	
Final Design:	<u>58,000</u> .00	
Construction Administration:	<u>0</u> .00	
<b>Total Engineering Services:</b>	a.) <u>58,000</u> .00	<u>15</u> %
Right of Way:	b.) <u>0</u> .00	
Construction:	c.) <u>392,400</u> .00	
Materials Purchased Directly:	d.) <u>0</u> .00	
Permits, Advertising, Legal:	e.) <u>0</u> .00	
Construction Contingencies:	f.) <u>0</u> .00	<u>0</u> %
<b>Total Estimated Costs:</b>	g.) <u>450,400</u> .00	

**1.2 Project Financial Resources**

**Local Resources**

Local In-Kind or Force Account:	a.) <u>0</u> .00	
Local Revenues:	b.) <u>225,400</u> .00	
Other Public Revenues:	c.) <u>0</u> .00	
ODOT / FHWA PID: _____	d.) <u>0</u> .00	
USDA Rural Development:	e.) <u>0</u> .00	
OEPA / OWDA:	f.) <u>0</u> .00	
CDBG:	g.) <u>0</u> .00	
<input type="checkbox"/> County Entitlement or Community Dev. "Formula"		
<input type="checkbox"/> Department of Development		
Other: _____	h.) <u>0</u> .00	
<b>Subtotal Local Resources:</b>	i.) <u>225,400</u> .00	<u>50</u> %

**OPWC Funds (Check all requested and enter Amount)**

Grant: <u>33</u> % of OPWC Funds	j.) <u>75,000</u> .00	
Loan: <u>67</u> % of OPWC Funds	k.) <u>150,000</u> .00	
Loan Assistance / Credit Enhancement:	l.) <u>0</u> .00	
<b>Subtotal OPWC Funds:</b>	m.) <u>225,000</u> .00	<u>50</u> %
<b>Total Financial Resources:</b>	n.) <u>450,400</u> .00	<u>100</u> %

### 1.3 Availability of Local Funds

Attach a statement signed by the Chief Financial Officer listed in section 5.2 certifying all local resources required for the project will be available on or before the earliest date listed in the Project Schedule section. The OPWC Agreement will not be released until the local resources are certified. Failure to meet local share may result in termination of the project. Applicant needs to provide written confirmation for funds coming from other funding sources.

### 2.0 Repair / Replacement or New / Expansion

2.1 Total Portion of Project Repair / Replacement:	<u>450,400 .00</u>	<u>100 %</u>
2.2 Total Portion of Project New / Expansion:	<u>0 .00</u>	<u>0 %</u>
2.3 Total Project:	<u>450,400 .00</u>	<u>100 %</u>

A Farmland Preservation letter is required for any impact to farmland

### 3.0 Project Schedule

3.1 Engineering / Design / Right of Way	Begin Date: <u>01/01/2023</u>	End Date: <u>05/01/2023</u>
3.2 Bid Advertisement and Award	Begin Date: <u>07/01/2023</u>	End Date: <u>08/30/2023</u>
3.3 Construction	Begin Date: <u>09/01/2023</u>	End Date: <u>06/01/2024</u>

Construction cannot begin prior to release of executed Project Agreement and issuance of Notice to Proceed. Failure to meet project schedule may result in termination of agreement for approved projects. Modification of dates must be requested in writing by project official of record and approved by the Commission once the Project Agreement has been executed.

### 4.0 Project Information

If the project is multi-jurisdictional, information must be consolidated in this section.

#### 4.1 Useful Life / Cost Estimate / Age of Infrastructure

Project Useful Life: 20 Years      Age: 1980 (Year built or year of last major improvement)

*Attach Registered Professional Engineer's statement, with seal or stamp and signature confirming the project's useful life indicated above and detailed cost estimate.*

#### 4.2 User Information

Road or Bridge:      Current ADT \_\_\_\_\_ Year \_\_\_\_\_      Projected ADT \_\_\_\_\_ Year \_\_\_\_\_

Water / Wastewater: Based on monthly usage of 4,500 gallons per household; attach current ordinances.

Residential Water Rate      Current \$ \_\_\_\_\_      Proposed \$ \_\_\_\_\_

Number of households served: \_\_\_\_\_

Residential Wastewater Rate      Current \$ \_\_\_\_\_      Proposed \$ \_\_\_\_\_

Number of households served: \_\_\_\_\_

Stormwater: Number of households served: \_\_\_\_\_

## 4.3 Project Description

- A: SPECIFIC LOCATION** (Supply a written location description that includes the project termini; a map does not replace this requirement.) 500 character limit.

Carpenter Road, from 8747 Carpenter Road to the Carpenter Rd Lift Station.

- B: PROJECT COMPONENTS** (Describe the specific work to be completed; the engineer's estimate does not replace this requirement) 1,000 character limit.

There is insufficient sewer capacity in th gravity sewer on Carpenter Road between 4748 Carpenter Road and the Carpenter Road Lift Station. As a result, during heavy rainstorms and snow-melt events, the sanitary Sewer manhole overflows at 4748 and the basement of 4748 floods with sewage. This project will increase the sanitary sewer capacity in this portion of Elm Dr. by installing a force main parallel to the existing gravity sewer. Currently the Elm Dr., Lift Station discharges to the Carpenter Road sewer. This project will extend the Elm Drive force main past the section of the gravity sewer on Carpenter Road which does not have adequate capacity. it will also install new impellers in the Elm Drive Lift Station pumps to accommodate the longer force main.

- C: PHYSICAL DIMENSIONS** (Describe the physical dimensions of the existing facility and the proposed facility. Include length, width, quantity and sizes, mgd capacity, etc in detail.) 500 character limit.

The force main extension will be approximately 2,800 lineal feet of 10-inch diameter pipe. The two submersible pumps in the Elm Drive L.S. will each receive new impellers.

The project is sized to provide both domestic and fire flows to both Austinburg Township and Jefferson Township.

## 5.0 Project Officials

Changes in Project Officials must be submitted in writing from an officer of record.

### 5.1 Chief Executive Officer

(Person authorized in legislation to sign project agreements)

Name: J.P. Ducro, IV

Title: President, Ashtabula County Commissioners

Address: 25 W. Jefferson St., 2nd Floor, Old Courthouse

City: Jefferson State: OH Zip: 44047

Phone: (440) 576-3179

FAX: (440) 576-3781

E-Mail: JPDucro@ashtabulacounty.us

### 5.2 Chief Financial Officer

(Can not also serve as CEO)

Name: David Thomas

Title: Ashtabula County Auditor

Address: 25 West Jefferson

City: Jefferson State: OH Zip: 44047

Phone: (440) 576-3783

FAX: (440) 576-3797

E-Mail: djthomas@ashtabulacounty.us

### 5.3 Project Manager

Name: Douglas G. Starkey

Title: Director of Environmental Services

Address: 36 W. Walnut Street

City: Jefferson State: OH Zip: 44047

Phone: (440) 576-3725

FAX: (440) 576-3781

E-Mail: dgstarkey@ashtabulacounty.us

## 6.0 Attachments / Completeness review

Confirm in the boxes below that each item listed is attached (Check each box)

- A certified copy of the legislation by the governing body of the applicant authorizing a designated official to sign and submit this application and execute contracts. This individual should sign under 7.0, Applicant Certification, below.
- A certification signed by the applicant's chief financial officer stating the amount of all local share funds required for the project will be available on or before the dates listed in the Project Schedule section. If the application involves a request for loan (RLP or SCIP), a certification signed by the CFO which identifies a specific revenue source for repaying the loan also must be attached. Both certifications can be accomplished in the same letter.
- A registered professional engineer's detailed cost estimate and useful life statement, as required in 164-1-13, 164-1-14, and 164-1-16 of the Ohio Administrative Code. Estimates shall contain an engineer's seal or stamp and signature.
- A cooperative agreement (if the project involves more than one subdivision or district) which identifies the fiscal and administrative responsibilities of each participant.
- Farmland Preservation Review - The Governor's Executive Order 98-IV, "Ohio Farmland Protection Policy" requires the Commission to establish guidelines on how it will take protection of productive agricultural and grazing land into account in its funding decision making process. Please include a Farm Land Preservation statement for projects that have an impact on farmland.
- Capital Improvements Report. CIR Required by O.R.C. Chapter 164.06 on standard form.
- Supporting Documentation: Materials such as additional project description, photographs, economic impact (temporary and/or full time jobs likely to be created as a result of the project), accident reports, impact on school zones, and other information to assist your district committee in ranking your project. Be sure to include supplements which may be required by your local District Public Works Integrating Committee.

## 7.0 Applicant Certification

The undersigned certifies: (1) he/she is legally authorized to request and accept financial assistance from the Ohio Public Works Commission as identified in the attached legislation; (2) to the best of his/her knowledge and belief, all representations that are part of this application are true and correct; (3) all official documents and commitments of the applicant that are part of this application have been duly authorized by the governing body of the applicant; and, (4) should the requested financial assistance be provided, that in the execution of this project, the applicant will comply with all assurances required by Ohio Law, including those involving Buy Ohio and prevailing wages.

**Applicant certifies that physical construction on the project as defined in the application has NOT begun, and will not begin until a Project Agreement for this project has been executed with the Ohio Public Works Commission. Action to the contrary will result in termination of the agreement and withdrawal of Ohio Public Works Commission funding from the project.**

Douglas G. Starkey, Director, Ashtabula County

\_\_\_\_\_  
Certifying Representative (Printed form, Type or Print Name and Title)

\_\_\_\_\_  
Original Signature / Date Signed

**CHIEF FINANCIAL OFFICER'S CERTIFICATION OF LOCAL FUNDS /  
LOAN REPAYMENT LETTER**

October 20, 2022

I, David J. Thomas, Auditor, of the County of Ashtabula, hereby certify that Ashtabula County has the amount of \$225,400 in Sewer Fund 6001 and that this amount is available will be used to pay the local share for the Carpenter Rd Sanitary Sewer Overflow Elimination project when it is required.

I, David J. Thomas, Auditor of the County of Ashtabula, hereby certify that Ashtabula County will collect the amount of \$150,000 in the Sewer Fund 6001 and that this amount will be used to repay the Ohio Public Works Commission SCIP or RLP loan requested for the Carpenter Rd Sanitary Sewer Overflow Elimination project over a 20 year term.



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David J. Thomas, Ashtabula County Auditor



**CHIEF FINANCIAL OFFICER'S CERTIFICATION OF LOCAL FUNDS /  
LOAN REPAYMENT LETTER**

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David J. Thomas, Ashtabula County Auditor