

RESOLUTION AUTHORIZING ASHTABULA COUNTY BOARD OF COMMISSIONERS AND ACDES TO APPLY FOR, ACCEPT, AND ENTER INTO A WATER SUPPLY REVOLVING LOAN ACCOUNT (WSRLA) AGREEMENT ON BEHALF OF ASHTABULA COUNTY FOR CONSTRUCTION OF SR 307 AND COLLEGE STREET WATERLINE REPLACEMENT IN AUSTINBURG TOWNSHIP AND DESIGNATING A DEDICATED REPAYMENT SOURCE FOR THE LOAN, ACDES

WHEREAS, the County of Ashtabula seeks to replace aged waterlines on SR 307 and College St in Austinburg Township; and

WHEREAS, the County of Ashtabula intends to apply for Water Supply Revolving Loan Account (WSRLA) for the construction of the waterline replacement; and

WHEREAS, the Ohio Water Supply Revolving Loan Account (WSRLA) requires the government authority to pass legislation for application of a loan and the execution of an agreement as well as designating a dedicated repayment source: now

THEREFORE, BE IT RESOLVED, by the Board of County Commissioners of the County of Ashtabula, Ohio, that:

Section 1. That Casey Kozlowski, President of Ashtabula County Board of Commissioners, be and is hereby authorized to apply for a WSRLA loan, sign all documents for and enter into a Water Supply Revolving Loan Account (WSRLA) with the Ohio Environmental Protection Agency and the Ohio Water Development Authority for the construction of water facilities on behalf of the County of Ashtabula, Ohio.

Section 2. The estimated loan amount is \$1,401,477.00. The dedicated source of repayment will be from Water System Debt Retirement Principal fund 6002.001.155-901 and Water System Debt Retirement Interest fund 6002.001.155-910

Section 3. That this resolution shall take effect and be in force from and after the earliest period allowed by law.

BE IT FURTHER RESOLVED that the President of the Board, on behalf of the Board of Commissioners of Ashtabula County, is authorized to execute any and all necessary documents.

**ASHTABULA COUNTY COMMISSIONERS
CERTIFICATION PAGE**

Resolution No. 2023-365

July 06, 2023

RESOLUTION AUTHORIZING ASHTABULA COUNTY BOARD OF COMMISSIONERS AND ACDES TO APPLY FOR, ACCEPT, AND ENTER INTO A WATER SUPPLY REVOLVING LOAN ACCOUNT (WSRLA) AGREEMENT ON BEHALF OF ASHTABULA COUNTY FOR CONSTRUCTION OF SR 307 AND COLLEGE STREET WATERLINE REPLACEMENT IN AUSTINBURG TOWNSHIP AND DESIGNATING A DEDICATED REPAYMENT SOURCE FOR THE LOAN, ACDES


Upon the motion of Kathryn L. Whittington, seconded by J.P. Ducro IV.

VOTE:

Casey R. Kozlowski	Aye
Kathryn L. Whittington	Aye
J.P. Ducro IV	Aye

CERTIFICATE OF CLERK

IT IS HEREBY CERTIFIED that the foregoing is a true and correct transcript of a resolution acted upon and duly passed by the Board of County Commissioners of Ashtabula County, Ohio, on the date noted above.


Crystal Sturgill, Clerk of the Board *Acting*
Board of County Commissioners
Ashtabula County, Ohio



**Division of Environmental & Financial Assistance (DEFA)
Office of Financial Assistance (OFA)**

50 West Town Street, Suite 700, Columbus, Ohio 43215

Ph: 614.644.2798

www.epa.ohio.gov/defa/ofa

This application must be completed in its entirety. Incomplete forms may result in delay of loan approval.

1.0 Program Funding Selection

Please indicate what type of project funding is needed. *A selection must be made for the loan application to be reviewed.*

- Wastewater Water Pollution Control Loan Fund (WPCLF)
- Drinking Water Water Supply Revolving Loan Account (WSRLA)

2.0 Borrower's Information

Borrower (County, City, Village, or District):	Ashtabula County		
Borrower's Population: <u>97,337</u> County:	Ashtabula	DUNS#:	<u>926038696</u>
Borrower's American Community Survey (ACS) Median Household Income:	<u>\$49,680</u>	Date of Application:	<u>7/6/23</u>

3.0 Project Name and Description

Project Name: SR 307 and College ST Waterline Replacement

In the box below, please provide a brief description of the project requesting Ohio EPA funding.

The County will abandon the existing aged, deteriorated transite asbestos waterlines in Austinburg Township and replace with new. The new waterline consists of 7,500 l.f. of 12" PVC waterline, 480 l.f. of 14" HDPE waterline directionally drilled, seven 12" gate valves and nineteen hydrants. The project will also replace 120 s.y. of driveway apron.

**** Will land and/or easement acquisitions be required for this project?**

- Yes, please indicate acquisition commencement date(s).
- No additional land and/or easements are needed for the project.

*** Note:** If the site title opinion letter is not able to be sent with the loan application, it can be sent at a later date. However, this opinion **MUST** be submitted with the bid package for review.

**** A Site Title Opinion Letter must be submitted and signed by the Borrower's Solicitor/Law Director, to insure legal vested interest in all real property for the project. (Example see ATTACHMENT B)**

4.0 Funding Type and Proposed Loan Terms

Estimated Loan Amount: \$1,401,477.00 *This field autofills from Tab 4*

An estimated loan award date must be entered. This date should be the 1st of Month in which the loan would be awarded. This date is dependent on the type of loan that is requested, the estimated loan date will autofill on to TAB 3-Project Schedule.

Estimated Loan Award Date: 9/1/2023

Please consider my application for the following interest rate discount (construction loans only):

Wastewater Discounts:

- Facility Sludge Upgrade
- Septage Facilities
- Water Resource Restoration Sponsor Program (WRRSP)
- Other: (Specify) _____

Water Discounts:

- Auxiliary Power Program Construction projects are eligible to receive 50 percent of the auxiliary power cost up to \$10,000 in principal forgiveness.
- Other: (Specify) _____

**** Please select only ONE loan type****

The Borrower's interest rate will be determined based on the current year's Program Management Plan requirements. The first payment date must occur no later than 12 months after the project completion date. If you would like the repayment to begin earlier, please indicate a date. Payments are due semiannually: January 1 and July 1.

- Planning Loan** Planning loans have a maximum 5-year term.
First Payment Date: _____
- Design Loan** Design loans have a maximum 5-year term.
(Previous incurred project costs for Planning may be included in the design loan.)
First Payment Date: _____
- Construction Loan** Minimum 5-year term. Maximum 30-year term.
(Previous incurred project costs for Planning & Design may be included in the construction loan.)
Requested Term: 20 Years
First Payment Date: 1/1/2025
- Supplemental Loan** Loan Number: _____

**** Please complete the appropriate schedule on Tab 3 "Project Schedule" that correlates with the selected loan type.**

5.0 Contact Information

Authorized Representative to Execute Contracts

Name Casey R. Kozlowski Title President, Ashtabula County Commissioners
Address 25 West Jefferson St., 2nd Floor, Old Courthouse
City Jefferson, Ohio Zip 44047
Telephone 440-576-3757 Cell _____
Email CRKozlowski@ashtabulacounty.us

Address to Mail Loan Agreement (If different than Authorized Representative)

Name Douglas G. Starkey Title Director, Department of Environmental Services
Address ACDES - P.O. Box 520; 36 West Walnut Street
City Jefferson, Ohio Zip 44047
Telephone 440-576-3622 Cell _____
Email dgstarkey@ashtabulacounty.us

Billing Notices

Name Douglas G. Starkey Title Director, Department of Environmental Services
Address ACDES - P.O. Box 520; 36 West Walnut Street
City Jefferson, Ohio Zip 44047
Telephone 440-576-3622 Cell _____
Email dgstarkey@ashtabulacounty.us

Disbursements - Borrower's representative to contact regarding disbursement

Name Douglas G. Starkey Title Director, Department of Environmental Services
Address ACDES - P.O. Box 520; 36 West Walnut Street
City Jefferson, Ohio Zip 44047
Telephone 440-576-3622 Cell _____
Email _____

Borrower's Wiring Information - Wires to the borrower should be sent to:

<u>BANK WIRING</u>		<u>CHECK MAILING</u>	
Bank Name	_____	Address	_____
Address	_____		_____
City, State, Zip	_____	City, State, Zip	_____
Federal Wire ABA	_____		_____
Account #	_____		_____

Consulting Engineer

Name Georgia Conway Title Consulting Engineer
Firm CT Consultants, Inc.
Address 8150 Sterling Court
City Mentor State, Zip 44060
Telephone 440-951-9000 ext. 304 Cell _____
Email gconway@ctconsultants.com

6.0 Project Schedules for Planning, Design & Construction

PLANNING SCHEDULE

1. **Submit complete Loan Application with dedicated source of repayment and draft engineering agreement with scope of planning activities to be funded through this loan** (no later than 60 days prior to task 3)
2. **Sign loan documents and return to DEFA** (no later than 15 days prior to task 3)
3. **We request a Planning Loan by** (indicate the 1st of the Month in which Loan is requested)
(Loan awards can be scheduled for January through October and December – no November scheduled awards)
4. **Project Completion Date** (The date funded planning activities will be completed and submitted to Ohio EPA)
5. **Initial Payment due to Ohio EPA program** (January 1 or July 1 - within one year after the Project Completion)

DESIGN SCHEDULE

1. **Submit approvable Facilities Planning information, including complete VI Analysis** (generally 120 days prior to task 4)
2. **Submit a complete Loan Application which should include the borrowers financial information, a certified copy of legislation authorizing the borrower to enter into a Loan Agreement with Ohio EPA and OWDA (Example: see ATTACHMENT A) , a copy of the utility's User Charge System & Use ordinance and the engineering agreements.** (90 days prior to task 4)
3. **Sign loan documents and return to DEFA** (no later than 15 days prior to task 4)
4. **We request a Design Loan by** (1st of Month in which Loan is Awarded)
5. **Project Completion Date** (The date funded design will be completed and submitted to Ohio EPA)
6. **Initial Payment due to Ohio EPA program** (January 1 or July 1 - within one year after Project Completion)

CONSTRUCTION SCHEDULE

- | | |
|---|-----------|
| 1. Submit approvable Facilities Planning information, including complete VI Analysis (generally 200 days prior to task 9) | submitted |
| 2. Submit complete Permit-to-Install application (if applicable) , including application, review fee, detail plans, contract documents, and specifications (170 days prior to task 9) | submitted |
| 3. Submit a complete Loan Application which should include the borrowers financial information, a certified copy of legislation authorizing the Borrower to enter into a Loan Agreement with Ohio EPA and OWDA (Example: see ATTACHMENT A) , a copy of the utility's User Charge System & Use ordinance and the engineering agreements. (150 days prior to task 9) | 7/10/2023 |
| 4. Submit Site Title Opinion Letter signed by Solicitor/Law Director (no later than 60 days prior to task 9) (Example: see ATTACHMENT B) | 7/14/2023 |
| 5. Advertise for construction bids (no later than 60 days prior to task 9) | 7/14/2023 |
| 6. Open construction bids (no later than 30 days prior to task 9) <u>Be sure to allow for a minimum of 60 days to award contracts</u> | 7/28/2023 |
| 7. Submit bid information to DEFA (no later than 21 days prior to task 9) | 8/11/2023 |
| 8. Sign loan documents and return to DEFA (no later than 7 days prior to task 9) | 8/24/2023 |
| 9. We request a Construction Loan by (1st of Month in which Loan is Awarded) | 9/1/2023 |
| 10. Estimated Initiation of Operation date (The date funded facilities will be in full operation as planned and designed) | 6/1/2024 |
| 11. Estimated Initial payment due to the Ohio EPA loan program (January 1 or July 1 - within one year after the Initiation of Operation) | 1/1/2025 |

9.0 Source of Pledged Revenues

To obtain a loan from Ohio EPA, the Borrower is required to pledge the user revenues derived from the ownership and operation of their system as a security instrument (i.e. collateral) for the repayment of the loan. It can also be used as the dedicated source of repayment. The user revenues, after deductions for the operating and maintenance and previous debt obligations, must be able to support the repayment of the loan. However, unless prohibited by law, the Borrower can also choose any of the following as a dedicated source of loan repayment, with the user rates remaining the collateral. **(Reference Article IV in the WPCLF and the WSRLA Standard Loan Agreement).**

Please indicate the intended source of loan repayment below. More than one source can be used.

Revenue from:

- User Charges
- Assessments - Provide authorizing legislation
- General Taxes
- Other: Indicate source _____
- Other: Indicate source _____

10.0 Revenue Analysis

Current Revenues (Last Audit Year):

YEAR:

2021

User Classes	Total Revenue	Total Number of Users	Annual Revenue Per User
Residential	\$3,263,980.35	5,645	\$578.21
Commercial	\$878,953.86	234	\$3,756.21
Industry / Gov.	\$291,338.64	31	\$9,398.02
Other	\$503,670.19	119	\$4,232.52
TOTAL	\$4,937,943.04	6,029	

Total Revenue should reconcile to the "Revenues to System" column on the Projection of Revenues (TAB 7) for the associated year.

Current Average Monthly Residential User Rate

\$48.18

Projected Revenues for first year following project completion

YEAR:

2025

User Classes	Projected Total Revenue	Projected Total Number of Users	Projected Annual Revenue Per User
Residential	\$4,351,659.72	5,645	\$770.89
Commercial	\$1,171,853.90	234	\$5,007.92
Industry / Gov.	\$388,423.48	31	\$12,529.79
Other	\$671,511.79	119	\$5,642.96
TOTAL	\$6,583,448.89	6,029	

Total Revenue should reconcile to the "Revenues to System" column on the Projection of Revenues (TAB 7) for the associated year.

Projected Average Monthly Residential User Rate

\$64.24

Ten Largest Users

Customer	% of Revenue
The Village of Rock Creek	9.00%
Flying J Inc. #5066	3.50%
I & R Properties (Austin Manor)	3.00%
Worthington Industries	2.40%
DNC Parks and Resorts at GSP	1.70%
Saybrook Landing	1.70%
ENGIE Insight Services, Inc.	1.10%
Geneva Sports LLC	1.00%
Ronald Turner (Water Hauler)	1.30%
Pilot Travel Center MS #6	1.20%

11.0 Revenue Analysis Continued

3-Year Revenue Allocation

Please provide the revenue allocation for 3 years ending with most recent audit.

Year autofills from TAB 5	2019	2020	2021
Revenue	\$4,986,788.90	\$4,681,657.04	\$4,937,943.04
Expenditures			
Operating Expenses	\$2,935,305.74	\$3,179,924.30	\$3,224,176.29
Debt Service Payments	\$1,282,516.25	\$1,304,752.45	\$1,306,620.92
Other:			
Total Expenditures	\$4,217,821.99	\$4,484,676.75	\$4,530,797.21
Surplus or Loss	\$768,966.91	\$196,980.29	\$407,145.83

Outstanding System Debt (do not include loan being applied for):

Debt Type	Debt Balance	Annual Payment	Final Payment Date
Ohio EPA Loans			
OWDA	\$7,440,094.15	\$941,272.96	2040
OPWC	\$529,487.88	\$54,584.54	2041
GO bonds			
Revenue Bonds			
USDA-RD Loans			
Other	\$4,656,517.00	\$310,088.75	2035
TOTAL	\$12,626,099.03	\$1,305,946.25	

The annual payment total will autofill in the current year debt service column on TAB 7 "Projection of Revenues" sheet.

12.0 Borrowers Credit Rating (If applicable)

	Moody's	S&P
General Obligation		
Water and Sewer Revenues		A+

13.0 Rate Schedule

Indicate the year of the last rate increase: 2023
 What was the % of the last rate increase? 10.00%

Please describe the planned rate increases for the next 2 years:

See attached Resolution No. 2023-170 showing rate increases for 2024, 2025, 2026 and 2027.

14.0 Capital Improvement Plan

Brief Description of Major Projects:

Harpersfield Water Tank, South River Road Waterline

Ten Year Capital Improvement Plan:

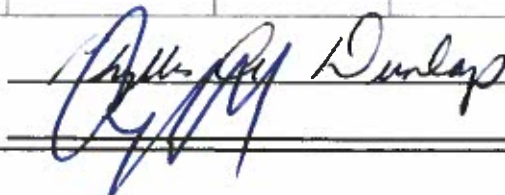
Years	2023	2024	2025	2026	2027	2028	2029	2030	2031	2032
\$ in 000's	435	698	925	925	625	100	100	100	100	100

15.0 Projection of Revenues and Expenses of the System

Borrower: Ashtabula County
 Project Name: SR 307 and College ST Waterline Replacement

Year	Revenues to System Only	Operations, Maintenance & Replacement (O,M & R) Expenses	DEBT SERVICE paid from Revenue to the System (i.e. OPWC, USDA, etc.)	NEW Ohio EPA Loan Repayment	Total Expense to the System	Annual Surplus	Cumulative Surplus
The first Year and Current User Revenues autofill from Section 10.0 on Tab 8. The first year of O, M & R and debt service will autofill from Section 11.0 on Tab 8.				***Indicate previous year carryover surplus in the yellow Cumulative Surplus box***			\$4,449,875.82
2021	\$4,937,943.04	\$3,224,176.29	\$1,305,946.25		\$4,530,122.54	\$407,820.50	\$4,857,696.32
2022	\$4,956,483.28	\$3,844,209.54	\$1,298,328.64		\$4,942,536.18	\$13,947.08	\$4,871,643.40
2023	\$5,699,955.75	\$4,008,630.49	\$1,300,220.67		\$5,308,851.16	\$391,104.58	\$5,262,747.99
2024	\$6,269,951.32	\$4,409,493.54	\$1,282,640.75		\$5,692,134.29	\$577,817.03	\$5,840,565.02
2025	\$6,583,448.89	\$4,850,442.90	\$1,359,371.32	\$90,922.93	\$6,300,737.15	\$282,711.74	\$6,123,276.76
2026	\$6,912,621.33	\$5,239,478.33	\$1,266,897.20	\$90,922.93	\$6,596,298.46	\$316,322.88	\$6,439,599.63
2027	\$7,258,252.40	\$5,657,656.60	\$1,257,508.56	\$90,922.93	\$7,005,988.08	\$252,264.32	\$6,691,863.95
2028	\$7,621,165.02	\$6,110,161.12	\$1,255,467.26	\$90,922.93	\$7,456,551.31	\$164,613.71	\$6,856,477.66
2029	\$8,002,223.27	\$6,598,974.01	\$1,236,316.41	\$90,922.93	\$7,926,213.35	\$76,009.92	\$6,932,487.58
2030	\$8,402,334.44	\$7,126,891.93	\$1,234,433.96	\$90,922.93	\$8,452,248.82	-\$49,914.39	\$6,882,573.19
2031	\$8,822,451.16	\$7,625,774.37	\$1,236,605.30	\$90,922.93	\$8,953,302.60	-\$130,851.44	\$6,751,721.74
2032	\$9,087,124.89	\$8,159,578.58	\$929,059.84	\$90,922.93	\$9,179,561.35	-\$92,436.65	\$6,659,285.09
2033	\$9,359,738.43	\$8,730,749.08	\$931,584.75	\$90,922.93	\$9,753,256.76	-\$393,518.32	\$6,265,766.77
2034	\$9,640,530.59	\$9,167,286.53	\$139,686.14	\$90,922.93	\$9,397,895.80	\$242,634.99	\$6,508,401.75
2035	\$9,929,746.50	\$9,625,650.86	\$124,686.14	\$90,922.93	\$9,841,259.93	\$88,486.58	\$6,596,888.33
2036	\$10,227,838.90	\$10,108,933.40	\$124,686.14	\$90,922.93	\$10,322,542.47	-\$94,903.57	\$6,501,984.76
2037	\$10,534,468.07	\$10,612,280.07	\$124,686.14	\$90,922.93	\$10,827,889.14	-\$293,421.07	\$6,208,563.69
2038	\$10,850,502.11	\$11,142,894.07	\$104,268.30	\$90,922.93	\$11,338,085.30	-\$487,583.19	\$5,720,980.49
2039	\$11,176,017.17	\$11,700,038.78	\$99,135.80	\$90,922.93	\$11,890,097.51	-\$714,080.34	\$5,006,900.16
2040	\$11,511,297.69	\$12,051,039.94	\$86,290.08	\$90,922.93	\$12,228,252.95	-\$716,955.26	\$4,289,944.90
2041	\$11,856,636.62	\$12,412,571.14	\$75,979.16	\$90,922.93	\$12,579,473.23	-\$722,836.61	\$3,567,108.29
2042	\$12,212,335.72	\$12,784,948.27	\$71,979.16	\$90,922.93	\$12,947,850.36	-\$735,514.65	\$2,831,593.64
2043	\$12,578,705.79	\$13,166,496.72	\$71,979.16	\$90,922.93	\$13,331,398.81	-\$752,693.02	\$2,078,900.62
2044	\$12,956,066.96	\$13,563,551.62	\$71,979.16	\$90,922.93	\$13,726,453.71	-\$770,386.75	\$1,308,513.86
2045	\$13,344,748.97	\$13,970,458.17			\$13,970,458.17	-\$625,709.20	\$682,804.66
2046	\$13,745,091.44	\$14,389,571.92			\$14,389,571.92	-\$644,480.48	\$38,324.18
2047	\$14,157,444.18	\$14,821,259.07			\$14,821,259.07	-\$663,814.89	-\$625,490.70
2048							-\$625,490.70
2049							-\$625,490.70
2050							-\$625,490.70
2051							-\$625,490.70
2052							-\$625,490.70
2053							-\$625,490.70
2054							-\$625,490.70
2055							-\$625,490.70
2056							-\$625,490.70
2057							-\$625,490.70
2058							-\$625,490.70
2059							-\$625,490.70
2060							-\$625,490.70
2061							-\$625,490.70

Preparer Signature:



Date:

7/5/2023

Authorized Representative Signature:

Date:

7-6-23

NOTES:



EPA Project Control Number _____

United States Environmental Protection Agency
Washington, DC 20460

**Certification Regarding
Debarment, Suspension, and Other Responsibility Matters**

The prospective participant certifies to the best of its knowledge and belief that it and its principals:

- (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- (b) Have not within a three year period preceding this proposal been convicted of or had a civil judgement rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- (c) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and
- (d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State, or local) terminated for cause or default.

I understand that a false statement on this certification may be grounds for rejection of this proposal or termination of the award. In addition, under 18 USC Sec. 1001, a false statement may result in a fine of up to \$10,000 or imprisonment for up to 5 years, or both.

Casey R. Kozlowski, President Board of Commissioners
Typed Name & Title of Authorized Representative

Signature of Authorized Representative

7-6-23

Date

I am unable to certify to the above statements. My explanation is attached.

EPA Form 5700-49 (11-88)



Division of Environmental & Financial Assistance

50 West Town Street, Suite 700, Columbus, Ohio 43215

Ph: (614) 644-2798 Fx: (614) 644-3687

www.epa.ohio.gov/defa

**OHIO WATER SUPPLY REVOLVING LOAN ACCOUNT
COMPLIANCE CERTIFICATION**

BORROWER Ashtabula County

PROJECT NAME SR 307 and College ST Waterline Replacement

I certify that I am the duly authorized representative of the above-named legal entity (Borrower) and that the Borrower agrees to comply with all Federal and State laws, executive orders, regulations, policies and conditions relating to WSRLA assistance. I also certify that the Borrower:

- 1 Has the legal, institutional, managerial and financial capability to ensure adequate construction, operation, maintenance and replacement of the project facilities.
- 2 Will, if the project includes a new wastewater collection system, require all planned users to connect to the said system no later than one-year after the initiation of operation of the project facilities.
- 3 Does assure that the mitigative measures stated in the environmental assessment and detailed plans and specifications will be implemented in the construction of the project facilities.
- 4 Has not and will not violate any Federal, State or local law pertaining to fraud, bribery, graft, collusion or other unlawful or corrupt practices.
- 5 Will, during the construction of the project facilities, comply with the provisions of:
 - A. Federal Executive Order 11246 relating to Equal Employment Opportunity.
 - B. Federal Executive Orders 12432 relating to the use of Women's and Minority Business Enterprises.
 - C. Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition and Policies Act of 1970 (P.L. 91-646).
 - D. Federal Executive Order 11988 relating to evaluation of potential effects of any actions in a floodplain and Federal Executive Order 11990 relating to minimizing harm to wetlands.
 - E. The National Historic Preservation Act of 1966 (P.L. 89-665 as amended.)
 - F. State Executive Order 90-68 relating to construction impacts on wetland areas.
 - G. The Federal Davis-Bacon Act as codified at 40 U.S.C. 276a-276a-5 unless waived in writing by the State.
- 6 Will, when disbursements exceed \$750,000 in any year under this agreement, comply with:
The Single Audit Act of 1984, (SAA), as amended by the Single Audit Act of 1996. The Borrower will also have an audit of its use of Federal Financial Assistance, keep a copy of the SAA for review for the life of the loan.

I certify that I have read and understand these requirements and agree that WSRLA assistance is conditional upon the above-named Borrower maintaining compliance with these requirements.

Signature of Authorized Official

7-6-23

Date

17.0 Application Check List


- Facilities Plan
- A copy of Legislation authorizing current rates/tap in fees
- A copy of Legislation authorizing the borrower to enter into a Loan Agreement with Ohio EPA & OWDA (Example: see **ATTACHMENT A**)
- Planning & Design Loan Only:** Draft or Executed Copy of Engineering Agreement
- Construction Loan Only:** Engineer's Estimate for project costs. Bid tabs are required to be submitted prior to project approval.
Detailed estimate for Equipment and Force Account
Draft or Executed Copy of the Engineering Agreement if engineering costs are included in the loan.
- If the borrower is using special assessments to cover any portion of the Ohio EPA loan payments, attach a certified statement from a Solicitor/Law Director stating the status of the assessment proceedings, resolutions or ordinances, and notices.
- Site Title Opinion Letter signed by Solicitor/Law Director (Example: see **ATTACHMENT B**)
* **Note:** If the site title opinion letter is not able to be sent with the loan application, it can be sent at a later date. However, this opinion **MUST** be submitted with the bid package for review.
- Intermunicipal Service Agreement (if applicable)
- Documentation of outstanding debt for planning and/or design costs, to be included in the Ohio EPA loan (if applicable)

*****ITEMS LISTED BELOW ARE NOT NEEDED WITH THE LOAN APPLICATION.***
These are required after the Borrower has reviewed and SIGNED the Ohio EPA Loan Agreement and Exhibits.**

- General Certificate (Example: see **ATTACHMENT C**)
- Legal procedural letter signed by the Solicitor/Law Director (Example: see **ATTACHMENT D**)

18.0 Preparer Information

This Application Submitted by (Name & Title) : Phyllis A. Dunlap, Funding Specialist, CT Consultants, Inc.

Signature: 

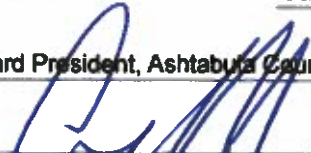
Date: 7/5/23 Telephone Number: 440-487-7794 (cell)

19.0 Authorized Representative Approval

To the best of my knowledge and belief, the information contained in this application is true and correct. The application has been duly authorized by the governing body of the applicant and the applicant will maintain compliance with all the laws, rules, executive orders and policies pertaining to the Ohio EPA - Water Pollution Control Loan Fund (WPCLF) or the Water Supply Revolving Loan Account (WSRLA) programs.

Authorized Representative Casey R. Kozlowski

Title Board President, Ashtabula County

Signature:  Date: July 6, 2023

FISCAL OFFICER'S CERTIFICATE
5705.41 O.R.C.

The undersigned, County Auditor of Ashtabula County, hereby certifies that the amount required to meet the obligations of the County during the year 2023 under the Agreement has been lawfully appropriated for that purpose, and is in the Treasury of the County or in the process of collection and free from any previous encumbrances to the credit of:

Agreement Title: RESOLUTION AUTHORIZING ASHTABULA COUNTY BOARD OF COMMISSIONERS AND ACDES TO APPLY FOR, ACCEPT, AND ENTER INTO A WATER SUPPLY REVOLVING LOAN ACCOUNT (WSRLA) AGREEMENT ON BEHALF OF ASHTABULA COUNTY FOR CONSTRUCTION OF SR 307 AND COLLEGE STREET WATERLINE REPLACEMENT IN AUSTINBURG TOWNSHIP AND DESIGNATING A DEDICATED REPAYMENT SOURCE FOR THE LOAN, ACDES



**David Thomas, Ashtabula County
Auditor**

Date: July 03, 2023

2023-365
7-6-23

Water Supply Revolving Loan Account

Exhibit 1

Project Name: SR 307 and College Street Waterline Replacement

Borrower: Ashtabula County

Address: 25 West Jefferson Street, 2nd Floor, Old Courthouse

City & State: Jefferson, OH

Borrower's Authorized Representative: Casey R Kozlowski

Loan Number: FS390004-0029

Zip Code: 44047

Phone: (440) 576-3757

Project Description

This project will replace approximately 7,500 linear feet of deteriorated waterlines in two locations within Austinburg and Jefferson Townships.

Cost Data

Activities	Eligible	OPWC	Total Project Cost
Technical Services			
Engineering Services	\$46,480.00	\$33,520.00	\$80,000.00
Construction			
Klinginsmith Enterprises, Inc. - College St and SR307 Waterline Improvement	\$716,985.60	\$434,670.60	\$1,151,656.20
Other Costs			
Contingency	\$115,165.62		\$115,165.62
Subtotal	\$878,631.22	\$468,190.60	\$1,346,821.82
Application Fee	\$11,862.00		\$11,862.00
Total Estimated Cost	\$890,493.22	\$468,190.60	\$1,358,683.82

WSRLA Loan Information

Interest Rate:	2.7%	Principal Amount:	\$890,493.22
Term in Years:	20.0	Interest:	\$267,822.38
Number of Payments:	40	Total Cost of Borrowing:	\$1,158,315.60
Participation Rate:	0.0325189	Payment:	\$28,957.89

Project Schedule

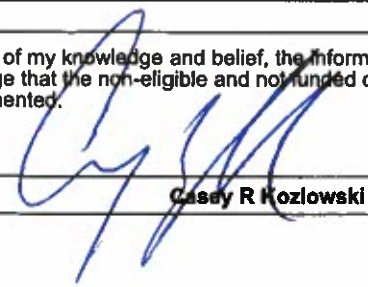
Application Date:	07/06/2023	Project Completion:	06/01/2024
Resolution Date:	07/06/2023	Date of Initial Payment:	01/01/2025

Pledged Revenues

Section 1452(f)(1)(C) of the Safe Drinking Water Act requires one or more dedicated sources of revenue for repayment of the loan. The following information specifies those sources

Revenue Source	
Special Assessments	
General Taxes	
Water Service Charge	\$1,158,315.60
Other:	
Total	\$1,158,315.60

To the best of my knowledge and belief, the information contained on this exhibit represents the actual project costs being requested from the WSRLA. I hereby acknowledge that the non-eligible and non-funded costs identified above, if any, will be provided from sources other than the WSRLA as to allow the project to be fully implemented.



Casey R Kozlowski

9-14-23

Date

Water Supply Revolving Loan Account Agreement

IN WITNESS WHEREOF, the parties hereto have caused this Agreement to be executed by their respective duly authorized officers as of the "Effective Date."

APPROVED AS TO FORM

By _____
Ohio EPA Counsel

Print Name _____

OHIO ENVIRONMENTAL PROTECTION AGENCY

By _____
Anne M. Vogel, Director

Date _____

APPROVED AS TO FORM

By _____
General Counsel

Print Name _____

OHIO WATER DEVELOPMENT AUTHORITY²

By _____
Ken J. Helgel, Executive Director

Date _____

APPROVED AS TO FORM

By Colleen Mary O'Toole
Borrower's Counsel

Print Name Colleen M. O'Toole

BORROWER

By [Signature]
Authorized Representative

Print Name Cassey Kozlowski

Title President

Date 9/12/2023

² If the execution of this Agreement on behalf of the OWDA is not required for the reason stated in note 1 on page 1 hereof, then "N/A" shall be inserted on the signature lines for the OWDA and its General Counsel.